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*Government Notice No. 225 of 2021*

**THE SECURITIES ACT**

**Rules made by the Financial Services Commission under  
Section 155 of the Securities Act**

1. These Rules may be cited as the Securities (Disclosure Obligations of Reporting Issuers) (Amendment) Rules 2021.
2. In these Rules –  
“Principal Rules” means the Securities (Disclosure Obligations of Reporting Issuers) Rules 2007.
3. The Principal Rules are amended –
  - (a) by inserting after rule 2, the following new rule –

**(2A) Applicability of these Rules**

Unless otherwise provided in these Rules, these Rules shall not apply to, nor be applicable to an issuer as a result of, an offer of securities made under Section 70 (1)(d), Section 70(1)(e), and Section 70(1)(k) of the Act.

- (b) in rule 5, in paragraph (1), by –
  - (i) by deleting the words “ and, where applicable” after the words “wide circulation” and replacing them by the word “or”;
- (c) in rule 6, –
  - (i) by inserting the words “publish on its website or” before the words “send to”;
- (d) in rule 8 –

- (i) in sub paragraph (1)(a)(i), by inserting the words “or on its website” after the word “circulation”;
  - (ii) in sub paragraph (1)(a)(ii), by inserting the words “or on its website” after the words “paragraph (1) (a) (i)”;
  - (iii) in sub paragraph (1)(b), by inserting the words “or the link to” before the words “the quarterly report”;
  - (iv) in sub paragraph (1)(c), by inserting the words “or the link to” before the words “the quarterly report”.
- (e) in rule 14, –
- (i) in paragraph (a), by inserting the words “or on its website” after the words “wide circulation”;
- (f) by repealing rule 16A.
- 4.** These Rules shall come into operation on 04 September 2021.

Made by the Financial Services Commission on 04 September 2021.

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