



FSCNOT28C2016/4

Financial Services Commission
Mauritius

Public Notice

Disqualification of Officer – Ms. Tanusha Sobrun

The Financial Services Commission (the 'FSC Mauritius') refers to its Public Notice issued on 24 April 2015 where the Investment Advisor (Unrestricted) Licence of Westminster Financial Limited ('WFL') was revoked with immediate effect.

Following the revocation of the licence of WFL, the FSC Mauritius referred Ms. Tanusha Sobrun to the Enforcement Committee (the 'Committee') for any action deemed appropriate at its end under Section 53(1) of the Financial Services Act 2007 (the "FSA").

Ms. Tanusha Sobrun occupied the position of Portfolio Manager and Money Laundering Reporting Officer in WFL.

The Committee has concluded that Ms. Tanusha Sobrun has failed as Money Laundering Reporting Officer of WFL in ensuring that WFL complies with the Code on the Prevention of Money Laundering and Terrorist Financing.

The public is hereby informed that Ms. Tanusha Sobrun has been disqualified from holding position as officer in any licensee of the FSC Mauritius for a period of two (2) years pursuant to sections 52(3) and 7(1)(c)(iv) of the FSA with effect from 11 February 2016.

In accordance with Section 53(4) of the FSA, Ms. Tanusha Sobrun has made an application to the Financial Services Review Panel for a review of the decision of the Committee.

Financial Services Commission, Mauritius
28 March 2016

FSC House, 54 Cybercity, Ebene, 72210, Republic of Mauritius
Tel: (230) 403 7000 Fax: (230) 467 7172
E-mail: fscmauritiu@intnet.mu, Website: www.fscmauritiu.org