EC/JGN/25K15/ECS2



Financial Services Commission

NOTICE

of the Enforcement Committee under section 53(2) of the Financial Services Act

The Enforcement Committee ("EC") of the Financial Services Commission, Mauritius ("FSC") has, pursuant to section 53(2) of the Financial Services Act ("FSA"), issued a notice dated 22 September 2015 and bearing reference EC/JGN/22I15/ECS1, informing Mr Jorg Gunther Neumann of the intention of the EC to disqualify him from holding position as officer in any licensee of the FSC for a period not exceeding five (5) years in accordance with sections 52(3) and 7(1) (c) (iv) of the FSA.

The notice has been sent by registered post with delivery advice to the address of Mr Jorg Gunther Neumann. The notice has not been delivered and has been returned to the EC Secretariat.

Notice is hereby given to Mr Jorg Gunther Neumann that he is required, within a period of 15 days from the date of first publication of this Notice on 25 November 2015, to submit written representations as to why the EC should not disqualify him, under sections 52(3) and 7(1) (c) (iv) of the FSA, from holding position as officer in any licensee of the FSC for a period not exceeding five (5) years.

Any written representations must be sent to the EC at the following address:

The Enforcement Committee Secretariat Financial Services Commission FSC House 54, Cybercity Ebene, 72201

The notice dated 22 September 2015 may be collected from the EC Secretariat at the abovementioned address.

EC Secretariat
25 November 2015

FSC House, 54 Cybercity, Ebene 72201, Republic of Mauritius Tel: (230) 403 7000 Fax: (230) 467 7172 E-mail: fscmauritius@intnet.mu, Website: www.fscmauritius.org