

**The text below is an internet version of the Rules made by the Financial Services Commission under section 93 of the Financial Services Act 2007 and section 155 of the Securities Act 2005 and is for information purposes only. Whilst reasonable care has been taken to ensure its accuracy, the authoritative version is the one published in the *Government Gazette* of Mauritius (GN No. 294 of 2013)**

**FINANCIAL SERVICES (CONSOLIDATED LICENSING AND FEES) (AMENDMENT NO. 4) RULES 2013**

**FSC Rules made by the Financial Services Commission under section 93 of the Financial Services Act 2007 and section 155 of the Securities Act 2005.**

**1. Citation**

These Rules may be cited as the Financial Services (Consolidated Licensing and Fees) (Amendment No. 4) Rules 2013.

**2. Interpretation**

“principal Rules” means the Financial Services (Consolidated Licensing and Fees) Rules 2008.

**3. The principal Rules amended**

The principal Rules are amended in Part 1 of the First Schedule by inserting the following table immediately after the table “Foreign Investment Dealers trading on GBOT” –

<b>Foreign Investment Dealers trading on SEM</b>					
<b>Code</b>	<b>Authorisation</b>	<b>Relevant Section of Securities Act 2005</b>	<b>Processing Fee (US \$)</b>	<b>Fixed Annual Fee (US \$)</b>	<b>Other Fees</b>
SEC-6.1	Foreign Investment Dealer (Full Service Dealer including underwriting)	S 29(3)	(\$ 3,000)	(\$ 7,500)	Brokerage Fees payable under the Stock Exchange (Brokerage) Regulations 1989 or any other enactment, as may be applicable.
SEC-6.2	Foreign Investment Dealer (Full Service Dealer excluding underwriting)	S 29(3)	(\$ 750)	(\$ 2,000)	
SEC-6.3	Foreign Investment Dealer (Broker)	S 29(3)	(\$ 500)	(\$ 1,750)	
SEC-6.4	Foreign Investment Dealer (Discount Broker)	S 29(3)	(\$ 250)	(\$ 1,250)	

#### **4. Commencement**

These Rules shall come into operation on 12 December 2013.

Made by the Financial Services Commission on 5 December 2013.