FINANCIAL SERVICES (CONSOLIDATED LICENSING AND FEES) (AMENDMENT) RULES 2009


1. Citation

These Rules may be cited as the Financial Services (Consolidated Licensing and Fees) (Amendment) Rules 2009.

2. Interpretation

In these Rules-


3. First Schedule of the principal Rules amended

Part 1 of the First Schedule to the principal Rules is amended in the table for “Securities or Capital Market Intermediaries” by adding two items immediately after the item “Representative of Investment Adviser (Restricted)” as set out in the Schedule to the present Rules.

4. Commencement

These Rules shall come into operation on 1 November 2009.

Made by the Financial Services Commission on 26 October 2009.
## SCHEDULE

[Rule 3]

**Securities or Capital Market Intermediaries**

<table>
<thead>
<tr>
<th>Code</th>
<th>Licence</th>
<th>Relevant Section of Securities Act 2005</th>
<th>Processing Fee (Rupees/US$*)</th>
<th>Fixed Annual Fee (Rupees/US$*)</th>
<th>Other Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>SEC-2.8</td>
<td>Investment Dealer (Commodity Derivatives Segment)</td>
<td>S 29</td>
<td>Rs. 30,000 ($ 1000)</td>
<td>Rs. 90,000 ($ 3000)</td>
<td>-</td>
</tr>
<tr>
<td>SEC-2.9</td>
<td>Investment Dealer (Currency Derivatives Segment)</td>
<td>S 29</td>
<td>Rs. 30,000 ($ 1000)</td>
<td>Rs. 90,000 ($ 3000)</td>
<td>-</td>
</tr>
</tbody>
</table>