

Endorsed Pre-LOUs of the Interim Global Legal Entity Identifier System (GLEIS)

Date of endorsement	Pre-LOU	Sponsor	Prefix	Endorsement note	Pre-LOU website
3 October 2013	WM Datenservice	Bundesanstalt für Finanzdienstleistungsaufsicht	5299	http://www.leiroc.org/publications/gls/lou_20131003.pdf	https://www.geiportal.org
3 October 2013	Institut National de la Statistique et des Etudes Economiques	French Ministry for Economy and Finance	9695	http://www.leiroc.org/publications/gls/lou_20131003.pdf	https://lei-france.insee.fr
3 October 2013	CICI utility ¹	U.S. Commodity Futures Trading Commission	5493	http://www.leiroc.org/publications/gls/lou_20131003.pdf	https://www.ciciutility.org
11 November 2013	Takasbank	Capital Markets Board of Turkey	7890	http://www.leiroc.org/publications/gls/lou_20131111.pdf	http://www.takasbank.com.tr/en/Pages/LEI.aspx
11 November 2013	London Stock Exchange	UK Financial Conduct Authority	2138	http://www.leiroc.org/publications/gls/lou_20131111.pdf	http://www.lseg.com/LEI
7 December 2013	Irish Stock Exchange	Central Bank of Ireland	6354	http://www.leiroc.org/publications/gls/lou_20131207.pdf	https://www.isedirect.ie
27 December 2013	Russia National Settlement Depository (NSD)	Central Bank of Russian Federation	2534	http://www.leiroc.org/publications/gls/lou_20131227.pdf	https://www.nsd.ru/en/services/lei

¹ a set of approximately 3,000 codes that are not certified but that have been used for CFTC reporting purposes (*Uncertified Used CICIs*, identified as 'NOT CURRENT' in the CICI Utility database); these codes are not globally recognized.

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27 December 2013	Poland Krajowy Depozyt Papierów Wartościowych S.A. (KDPW)	Polish Financial Supervisory Authority	2594	http://www.leiroc.org/publication/s/gls/lou_20131227.pdf	http://www.kdpw.pl/en/business/LEI/Pages/default.aspx
7 January 2014	Dutch Chamber of Commerce (KvK)	Netherlands Authority for the Financial Markets	7245	http://www.leiroc.org/publication/s/gls/lou_20140107.pdf	http://www.kvk.nl/english/how-to-register-deregister-and-report-changes/legal-entity-identifier-lei/
7 January 2014	National Board of Patents and Registration of Finland (PRH)	Financial Supervisory Authority, Finland	7437	http://www.leiroc.org/publication/s/gls/lou_20140107.pdf	http://www.prh.fi/en/uutislistaus/2013/P_1048.html
6 February 2014	Centrální depozitář cenných papírů, a.s	Czech National Bank	3157	http://www.leiroc.org/publication/s/gls/lou_20140206.pdf	http://www.centraldepository.cz/index.php/en/lei-pre-lei-legal-entity-identifier
7 February 2014	Unione Italiana per le Camere di Commercio, Industria, Artigianato e Agricoltura	Banca d'Italia and Commissione Nazionale per le Società e la Borsa	8156	http://www.leiroc.org/publication/s/gls/lou_20140207.pdf	https://lei-italy.infocamere.it/leii/Home.action
5 March 2014	Registro Mercantil del Reino de España	Banco de España	9598	http://www.leiroc.org/publication/s/gls/lou_20140305.pdf	https://www.lei.mjusticia.gob.es/es/Paginas/home.aspx
21 May 2014	Centrálny depozitár cenných papierov SR, a.s. (Central Securities Depository: Slovakia)	National Bank of Slovakia	0979	http://www.leiroc.org/publication/s/gls/lou_20140521.pdf	https://www.cdcp.sk/english/lei/lei_general.php
21 May 2014	Bundesanzeiger Verlag GmbH (Bundesanzeiger)	Bundesanstalt für Finanzdienstleistungsaufsicht	3912	http://www.leiroc.org/publication/s/gls/lou_20140521.pdf	https://www.ceireg.de/banzlei/cust
6 June 2014	Brønnøysund Register Centre	Royal Norwegian Ministry of Trade, Industry and Fisheries	5967	http://www.leiroc.org/publication/s/gls/lou_20140606.pdf	http://www.glei.no/
22 July 2014	Japan Exchange Group/Tokyo Stock Exchange (JPX/TSE)	Financial Services Agency of Japan (JFSA)	3538	http://www.leiroc.org/publication/s/gls/lou_20140722.pdf	https://www.jpx.co.jp/lei/en/index.html

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10 August 2014	Central Securities Clearing System Plc of Nigeria	Nigerian Securities and Exchange Commission	0292	http://www.leiroc.org/publications/gls/lou_20140810.pdf	https://www.cscsnigeriaplc.com/home/legalentity1
26 August 2014	LuxCSD	Banque Centrale du Luxembourg	2221	http://www.leiroc.org/publications/gls/lou_20140826-1.pdf	http://www.luxcsd.com/luxcsd-en/
9 October 2014	Korea Securities Depository (KSD)	Korea Financial Services Commission	9884	http://www.leiroc.org/publications/gls/lou_20141009-1.pdf	https://lei-k.com/websquare/control.jsp?w2xPath=/LEI/eng.xml
20 October 2014	China Financial Standardization Technical Committee (CFSTC)	People's Bank of China	3003	http://www.leiroc.org/publications/gls/lou_20141020-1.pdf	www.leichina.org
28 January 2015	Registro de Identificación de Entidades del BCRA (Central Bank of Argentina pre-LOU)	Central Bank of Argentina	5791	http://www.leiroc.org/publications/gls/lou_20150128-1.pdf	www.bcra.gov.ar Direct link: http://200.70.35.103/weblei/
5 March 2015	Central Depository & Settlement Co Ltd (CDS), Mauritius	Financial Services Commission, Mauritius	1325	http://www.leiroc.org/publications/gls/lou_20150305-1.pdf	http://www.stockexchangeofmauritius.com/cds-lei
22 April 2015	Clearing Corporation of India Limited (CCIL)	Reserve Bank of India	3358	http://www.leiroc.org/publications/gls/lou_20150422-1.pdf	https://www.ccilindia-lei.co.in/
22 April 2015	Zagreb Stock Exchange (ZSE)	Croatian Financial Services Supervisory Agency	7478	http://www.leiroc.org/publications/gls/lou_20150422-1.pdf	http://lei.zse.hr/

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20 July 2015	Saudi Arabian Credit Bureau (SACB/MOA'RIF)	Saudi Arabian Monetary Agency	5586	http://www.leiroc.org/publications/gls/lou_20150720-1.pdf	https://www.leiarabia.com
3 September 2015	APIR Systems Limited (APIR)	Australian Securities and Investments Commission (ASIC)	2617	http://www.leiroc.org/publications/gls/lou_20150903-1.pdf	http://lei.apir.com.au/
18 December 2015	Strate (Pty) Ltd (Strate)	Financial Services Board, South Africa	3789	http://www.leiroc.org/publications/gls/lou_20151218.pdf	http://lei-strate.co.za/LegalEntityIdentifier/
20 January 2016	Central Securities Clearing Corporation (Centralna klirinško depotna družba d.d.- KDD), Slovenia	Bank of Slovenia	4851	http://www.leiroc.org/publications/gls/lou_20160120-1.pdf	Slovenian: https://storitve.kdd.si/lei/ English: https://storitve.kdd.si/lei/en/index
10 February 2016	Instituto de Registos e Notariado (IRN)	Banco de Portugal	5798	http://www.leiroc.org/publications/gls/lou_20160210.htm	www.irn.mj.pt

Four Digit Prefixes allocated to sponsored Pre- LOUs²
http://www.leiroc.org/publications/gls/lou_20130318.pdf

Date of issuance	Sponsor	Pre-LOU	Prefix	Operational status
8 November 2012	U.S. Commodity Futures Trading Commission	DTCC/SWIFT CICI	5493	Operational
20 November 2012	Bundesanstalt für Finanzdienstleistungsaufsicht	WM Datenservice	5299	Operational
20 December 2012	Central Bank of Ireland	Irish Stock Exchange	6354	Operational
20 December 2012	Palestine Capital Market Authority	Palestine Securities Exchange	1392	
11 January 2013	Capital Markets Board of Turkey	Takasbank	7890	Operational
1 March 2013	Central Bank of Russian Federation (Federal Financial Markets Services, Russia)	National Settlement Depository	2534	Operational
18 March 2013	French Ministry for Economy and Finance	Institut National de la Statistique et des Etudes Economiques	9695	Operational
6 June 2013	UK Financial Conduct Authority	London Stock Exchange	2138	Operational

² All pre-LOUs issuing codes are required to comply with all the principles to be observed by Pre-LOUs that have been specified by the ROC and the FSB. For the purpose of the Uniqueness and Exclusivity check, all operational pre-LOUs must perform Uniqueness and Exclusivity checks against all pre-LEIs issued by operational pre-LOUs as indicated in Table above.

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14 June 2013	Netherlands Authority for the Financial Markets	Dutch Chamber of Commerce	7245	Operational
12 August 2013	People's Bank of China	China Financial Standardization Technical Committee	3003	Operational
14 August 2013	Central Bank of Argentina	Registro de Identificación de Entidades del BCRA (Central Bank of Argentina pre-LOU)	5791	Operational
19 August 2013	Polish Financial Supervisory Authority	Krajowy Depozyt Papierów Wartościowych S.A.	2594	Operational
23 August 2013	Banca d'Italia and Commissione Nazionale per le Società e la Borsa	Unione Italiana per le Camere di Commercio, Industria, Artigianato e Agricoltura	8156	Operational
8 October 2013	Financial Supervisory Authority, Finland	National Board for Patents and Registration (PRH)	7437	Operational

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9 November 2013	Bundesanstalt für Finanzdienstleistungsaufsicht	Bundesanzeiger Verlag GmbH (Bundesanzeiger)	3912	Operational
23 November 2013	Banco de España	Registro Mercantil del Reino de España	9598	Operational
27 November 2013	Czech National Bank	Centrální depozitář cenných papírů, a.s.	3157	Operational
8 January 2014	Reserve Bank of India	Clearing Corporation of India Limited (CCIL)	3358	Operational
26 January 2014	Royal Norwegian Ministry of Trade, Industry and Fisheries	Brønnøysund Register Centre	5967	Operational
10 February 2014	Saudi Arabian Monetary Agency	Saudi Credit Bureau	5586	Operational
18 February 2014	National Bank of Slovakia	Centrálny depozitár cenných papierov SR, a.s. (Central Securities Depository Slovakia)	0979	Operational

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12 March 2014	Financial Services Board, South Africa	Strate (Pty) Limited	3789	Operational
14 April 2014	Banco de Portugal	Instituto de Registos e Notariado – IRN	5798	
14 April 2014	Banque Centrale du Luxembourg	LuxCSD	2221	Operational
14 April 2014	Financial Services Agency of Japan (JFSA)	Japan Exchange Group/ Tokyo Stock Exchange (JPX/TSE)	3538	Operational
12 May 2014	Korea Financial Services Commission	Korea Securities Depository (KSD)	9884	Operational
16 May 2014	Securities & Exchange Commission of Nigeria	Central Securities Clearing System Plc of Nigeria	0292	Operational
28 May 2014	Australian Securities and Investments Commission	APIR Systems Limited	2617	Operational
4 August 2014	Ontario Securities Commission (OSC)	CDS Clearing and Depository Services Inc. (CDS)	9278	

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8 September 2014	Financial Services Commission, Mauritius	Central Depository & Settlement Co Ltd (CDS), Mauritius	1325	Operational
22 April 2015	Croatian Financial Services Supervisory Agency	Zagreb Stock Exchange (ZSE)	7478	Operational
20 January 2016	Bank of Slovenia	Central Securities Clearing Corporation (Centralna klirinško depotna družba d.d.- KDD), Slovenia	4851	Operational