1. The Personal Questionnaire (PQ) is intended for, amongst others, Beneficial Owners, Directors (executive and non-executive), Principal Officers and controlling shareholders of applicants for business, conducting financial services or providing a service by way of business, and for those promoting or managing collective investment schemes.

2. The Commission may require other applicants for a licence or any officer, shareholder or beneficial owner of an applicant or licensee to fill in the PQ where it is deemed necessary.

3. In accordance with existing procedures, licensees are required to inform the Commission of any material change in information submitted at the time of application. Changes in Beneficial Owners, Directors (executive and non-executive), Principal Officers and controlling shareholders should therefore be notified to the FSC and accompanied by the appropriate PQ.

4. The Commission may require that supplementary information be submitted where necessary.

09 March 2009