

THE FINANCIAL SERVICES COMMISSION

APPLICATION FORM CATEGORY 1 GLOBAL BUSINESS LICENCE

(approved under section 72(1) of the FSA 2007)

THIS FORM SHOULD BE READ IN CONJUNCTION WITH THE "GUIDE TO GLOBAL BUSINESS"

[Please refer to the Guide for further information on any word marked with superscript 4]

FULL NAME OF APPLICANT⁴

NAME AND CONTACT DETAILS OF THE APPLICANT'S MANAGEMENT COMPANY

NAME

ADDRESS

PHONE NUMBER

FOR OFFICIAL USE

Do Not Write Below This Line

Date of Application:

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Date of Receipt:

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FSC Code:

FSC	F	S	4.1
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LEGAL STATUS OF THE APPLICANT

1. CORPORATE DETAILS

1.1 Details of Corporate Structure

Private Company	
Public Company	
Company Limited by Shares	
Company Limited by Guarantee	
Company Limited by Shares and Guarantee	
Limited Life Company	
Unlimited Company	
Foreign Company	
Protected Cell Company	
Société	
Limited Partnership	
Trust	

1.2 Stated Capital

Types and Classes of Shares

- Shares
- Shares
- Shares

Or

- Contribution by Partners
- General Partner(s).....
- Limited Partner(s).....

1.3 Address of Registered Office:

.....
.....
.....

1.4 Business Address in Mauritius (if different from 1.3):

.....
.....
.....

1.5 Name and Address of the Applicant's Secretary⁴:

Name :

Address⁴ :

.....

Tel:.....Fax.....

Or

Name and Address of the Applicant's Registered Agent*

Name :

Address⁴ :

.....

Tel:.....Fax.....

1.6 Name and Address of Directors⁴:

A. Resident

Director 1

Name :

Address⁴ :

.....

Tel:.....Fax.....

Director 2

Name :

Address⁴ :

.....

Tel:.....Fax.....

* Applicable for Limited Partnerships only

B. Non Resident

Name :

Address⁴ :

.....

Tel:.....Fax.....

1.7 Name and Address of the Applicant's Auditor:

Name :

Address⁴ :

.....

Tel:.....Fax.....

1.8 Name and Address of the Applicant's Legal Advisor⁴:

Name :

Address⁴ :

.....

Tel:.....Fax.....

1.9 Name and Address of the Applicant's Banker (In Mauritius)

Name :

Address⁴ :

.....

Tel:.....Fax.....

2. GLOBAL BUSINESS ACTIVITY

Please specify activity clearly and submit a comprehensive business plan⁴.

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3. OWNERSHIP/ PROMOTER/ GENERAL PARTNER/ LIMITED PARTNER

3.1

If Individual - Beneficial Owner/ Promoter/ General Partner/ Limited Partner	
Name:	
Address:	
Occupation:	
Nationality:	
Date of Birth:	
Passport Number:	
Tel:	
Fax:	

3.2

If Corporate -Beneficial Owner/ Promoter/ General Partner/ Limited Partner	
Name of company	
Place of incorporation/Registered address	
Activity	
If listed (details on Exchange)	
List of Directors	
List of Shareholders	
Tel:	
Fax:	

3.3 If the applicant, its shareholders, beneficial owner(s) or partner(s) has/have promoted/ incorporated/ registered or acquired any company in Mauritius previously, please provide details below.

Company Name	Management Company ("MC")	Category	Ref. No.

4 DETAILS OF APPLICANT'S/BENEFICIAL OWNER'S/ PARTNER'S BUSINESS RECORD

4.1 State all the former trading names (if any) used by the Applicant/ Beneficial Owner/ Partner during the last 7 years. Please indicate the dates of the change of names.

4.2 Please specify those countries or territories in which the Applicant/ Beneficial Owner/ Partner intends to carry out financial services business to which this licence applies.

- 4.3 Does the Applicant/Beneficial Owner/Partner conduct or carry out financial services business from any jurisdiction other than Mauritius? Yes No
If yes, please provide the address from which such financial services business is carried out.
- 4.4 Is the Applicant/Beneficial Owner/ Partner regulated in another jurisdiction or has the Applicant applied for a licence from any regulatory authority in any other jurisdiction? Yes No
If yes, please provide details.
- 4.5 Has the Applicant/Beneficial Owner/ Partner made a similar application in another jurisdiction? Yes No
If yes, please provide details and outcome
- 4.6 Has the Applicant/Beneficial Owner/ Partner at any time in the previous 7 years been criticised, censured, disciplined, suspended, or fined by any regulatory body in Mauritius or by any regulatory body in any other jurisdiction?. Yes No
If yes, please supply details
- 4.7 At any time in the previous 7 years, has an application been made for the bankruptcy or compulsory winding up of the Applicant/ Beneficial Owner/ Partner or has the Applicant's property been seized or Applicant's property been seized or forfeited or relinquished by any other similar means?. Yes No
If yes, please supply details
- 4.8 Has the Applicant/Beneficial Owner/ Partner at any time in the previous 7 years had a Receiver or an Administrator appointed or failed to satisfy a debt adjudged due, or come to a compromise or similar arrangement with any of its creditors? Yes No
If yes, please supply details
- 4.9 Has the Applicant/Beneficial Owner/ Partner been engaged in any civil proceedings or arbitration at any time in the previous 7 years in which a debt was adjudged due from, or judgement given against, the Applicant in relation to any financial service? Yes No
If yes, please supply details.
- 4.10 Has the Applicant/Beneficial Owner/ Partner at any time been convicted of any offence involving fraud, or other dishonesty, or any other offence such as an economic offence or money laundering or been subject to penalties for tax evasion (whether or not in Mauritius) relating to companies carrying out financial services business? Yes No
If yes, please supply details.
- 4.11 Has any Director, Officer or Partner of the Applicant been convicted in any Court of Law for a criminal offence or penalised or sanctioned, or is currently or has ever been under investigation for professional negligence or malpractice by any Regulatory Authority in any country? If yes, please supply details Yes No

5. Financial Resources

5.1 5.1.1 Amount to be Capitalised by Applicant :

5.1.1.a. by Equity

5.1.1.b. by Loan.....

5.1.2 Estimated Volume of Business in first 12 months

5.1.3 Duration of Business - (*If limited life company*)

5.1.4. Contribution of Partners

5.1.4.a. by Cash.....

5.1.4.b. by Loan.....

5.1.4.c. Other Means.....

5.2. Source of Capital/Fund/Contribution

Details:

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6. Balance Sheet Date:

FOR EXISTING APPLICANT, PLEASE FILL IN SECTION 7.

7. 7.1 For Continuation

7.1.1 Country of Incorporation/Registration

7.1.2 Date of Incorporation/Registration

7.2* For Change in Legal Regime from GBC2 to GBC1 (refer to Section 15 of Form A or Section 23 of Form C for additional documentation required)

7.2.1 Date of Incorporation

7.2.2 GBL2 Licence No.....

7.3 Other (*please specify*)

7.3.1 Date of Incorporation.....

* Not applicable for Limited Partnerships