

Financial Services Commission Mauritius

THE ROLE OF CREDIT RATING AGENCIES IN THE CORPORATE BOND MARKET IN MAURITIUS

QUESTIONNAIRE – CREDIT RATING AGENCIES

Respondent's Company name:

Questionnaire – Credit Rating Agencies

PREFACE

The Financial Services Commission ("FSC Mauritius") is working on the implementation of a regulatory regime for credit rating agencies, one of the factors which support the development of the corporate bond market.

The purpose of this questionnaire is to assist the FSC Mauritius in gathering data from credit rating agencies. The information collected will provide direction to the FSC Mauritius in implementing the regulatory regime with respect to credit rating agencies.

Please complete the response to each question in the questionnaire, which is in PDF format and which allows you to select and input the appropriate response.

The completed questionnaire must be emailed to <u>crasurvey@fscmauritius.org</u> by 30 May 2014.

Thank you for completing this questionnaire.

A. GENERAL	INFORMATION (To be filled by credit rating agencies)
1. Is your cor	npany regulated for providing credit ratings on a professional basis?
Yes	No
2. If you answ	ver "yes" to Qu1, in which country is your company regulated?
••••••••••	
	company rate a bond or any other debt or financial obligation issued in a country ou are not regulated?
Yes	No
	ssuer seeks credit ratings from your company for a bond issued in Mauritius, will any consider rating the bond issue?
Yes	No
5. If you answ	ver "no" to Qu4, please state the reason.
••••••	
••••••	
	company consider taking a licence from the Financial Services Commission in to issue credit ratings for bonds issued in Mauritius?
Yes	No
7. If you answ	ver "no" to Qu6, please state the reason.
••••••	
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8. Does your company adopt a Code of Conduct, as set out in the principles of the International Organization of Securities Commission?



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