

ANNEX

Information/Documents to be submitted with respect to Application for “Securities Trading Systems”.

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| 1 | Duly dated and signed Application Form. |
| 2 | Prescribed Processing and/or Annual Fee(s). |
| 3 | Details of any other licence(s)/registration(s) which the Applicant holds and name of the licence(s) and issuing authority, and any restriction(s) imposed. |
| 4 | Applicant to describe and demonstrate, with supporting documents or information, how it will satisfy its obligations under Part II of the Securities (Licensing) Rules 2007. |