



# THE FINANCIAL SERVICES COMMISSION

## APPLICATION FORM

### FOR

### PRIVATE PENSION SCHEME

*(Pursuant to Sections 10 of the Private Pension Schemes Act 2012)*

#### NAME OF APPLICANT

*(Name of applicant should be the name of the private pension scheme)*

#### CONTACT DETAILS OF APPLICANT

REGISTERED

ADDRESS : \_\_\_\_\_

\_\_\_\_\_

PHONE NO. : \_\_\_\_\_

FAX NO : \_\_\_\_\_

EMAIL : \_\_\_\_\_

WEBSITE : \_\_\_\_\_

#### FOR OFFICIAL USE

Applicants Should Not Write Below This Line

Date of Application

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Date of Receipt:

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FSC Code:

FSC	P	P	S	1.2
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**Note:**

- (i) *The information/documents required with this Application Form and Annex must not be considered exhaustive. The FSC may request for such other information as it deems necessary with respect to the Application.*
- (ii) *The FSC reserves the right to amend the Application Form and Annex to reflect any change in relevant laws, regulations, rules and policy guidelines.*
- (iii) *Additional sheet(s) may be used, if necessary, to submit the required information.*

## 1. APPLICANT'S DETAILS

1.1	<p><b>LEGAL FORM OF APPLICANT IS:</b>  <i>Tick as appropriate (✓)</i></p> <p>Trust                      Foundation                      Other (please specify)</p> <p><input type="checkbox"/>                      <input type="checkbox"/>                      <input type="checkbox"/> _____</p>
1.2	<p><b>TYPE OF ARRANGEMENT:</b>  <i>Tick as appropriate (✓)</i></p> <p>Defined Benefit (DB) <input type="checkbox"/>                      Defined Contribution (DC) <input type="checkbox"/></p> <p><i>(Includes Hybrid Scheme)</i></p>
1.3	<p><b>STATUS:</b>  <i>Tick as appropriate (✓)</i></p> <p>Open to new members <input type="checkbox"/>                      Closed to new members <input type="checkbox"/></p>
1.4	<p><b>NUMBER OF MEMBERS AS AT DATE OF APPLICATION:</b></p> <p>Active members _____</p> <p>Deferred members _____</p> <p>Pensioners _____</p> <p>Deferred pensioners _____</p> <p>Total members _____</p>

## 2. FUNDING AND CONTRIBUTION DETAILS

2.1	<p><b>FUNDING STATUS:</b>  <i>(Applicable to DB schemes only)</i></p> <p><b>FUNDING RATIO (%):</b> <input type="text"/></p>
2.2	<p><b>SOURCE OF CONTRIBUTION:</b> <span style="float: right;"><i>Tick as appropriate (✓)</i></span></p> <p>Fully financed by member <input type="checkbox"/></p> <p>Fully financed by employer <input type="checkbox"/></p> <p><i>(Applicable to employer sponsored scheme)</i></p> <p>Partially financed by member/employer <input type="checkbox"/></p> <p><i>(Applicable to employer sponsored scheme)</i></p>

**3. SPONSORING EMPLOYER DETAILS (as applicable)**

<b>Tax Account Number (TAN)</b>	<b>Name of company</b>	<b>Registered Address</b>	<b>Contact Details (Phone/Fax/Email)</b>

**3A. Has the sponsoring employer established other private pension scheme(s) for the benefit of any of its employees or class of employees? (Tick as appropriate)**

Yes

No

**3B. If yes to 3A, fill in the following section.**

<b>Full Name of the scheme(s)</b>	<b>Country Set Up</b>	<b>Licensed/Regulated (Y/N)</b>

**The reason(s) for setting up of another private pension scheme:**

**DECLARATION BY AGENT**

**I certify that the information furnished in this application and additional submissions are complete and correct to the best of my knowledge and belief.**

**I also undertake to notify the Financial Services Commission of any material change in information/documents submitted with respect to the above.**

**Signature of agent:**

\_\_\_\_\_

**Full Name:** \_\_\_\_\_

**Date:** \_\_\_\_\_

**DOCUMENTS AND INFORMATION TO ACCOMPANY APPLICATION**

**Please refer to schedule, Part 1 and Part 2 of Private Pension Schemes (Licensing and Authorisation) Rules 2012**