

# THE FINANCIAL SERVICES COMMISSION

## APPLICATION FORM A-AC AUTHORISED COMPANY (FS-4.3)

*(authorised under section 71A of the FSA 2007)*

THIS FORM SHOULD BE READ IN CONJUNCTION WITH THE "GUIDE TO GLOBAL BUSINESS"

### FULL NAME OF APPLICANT

### NAME AND CONTACT DETAILS OF THE APPLICANT'S REGISTERED AGENT

NAME	.....
ADDRESS	.....
	.....
PHONE NUMBER	.....
CONTACT PERSON	.....
EMAIL ADDRESS	.....

### SECTION 1 – REQUIREMENTS OF SECTION 71A OF FSA 2007

- 1.1** Confirmation that the company is controlled by a majority of shareholders with beneficial interest who are not citizens of Mauritius.

Yes  No

If yes, please submit details at Section 4 of this Application Form.

- 1.2** Confirmation whether the activity (ies) of the Company is/are being conducted principally outside Mauritius.

Yes  No

- 1.3** Confirmation whether the company has its central management and control outside Mauritius.

Yes  No

**SECTION 2 - LEGAL STATUS OF THE APPLICANT**

**2. CORPORATE DETAILS**

**2.1** Stated Capital .....

**2.2** Types and Classes of Shares

— ..... Shares  
— ..... Shares  
— ..... Shares

**2.3 Address of Registered Office:**

.....  
.....  
.....

**2.4 Name and Address of Director(s):**

Name : .....

Address : .....  
.....

Name : .....

Address : .....  
.....

Name : .....

Address : .....  
.....

**3. BUSINESS ACTIVITY (Please refer to FS (Authorised Company) Rules 2018**

**3.1** Please specify activity, and submit a detailed business plan

.....  
 .....

**3.2** Please specify country(ies) where the principal business activity(ies) will be conducted.....

**4. OWNERSHIP**

<b>If shares held by individual(s)</b>	<b>Legal / Nominee</b>	<b>Beneficial Owner*</b>
Name:		
Address:		
Occupation:		
Citizenship/Nationality:		
Date of Birth:		
Passport Number:		
% Shareholding:		

<b>If shares held by Corporate(s)</b>	<b>Legal / Nominee</b>	<b>Beneficial Owner*</b>
Name of company		
Place of incorporation/Registered address		
Activity		
List of Directors		
List of Shareholders		
% Shareholding		

5. **Financial Year end:**.....

6. **Origin and Source of Fund/Wealth\*:**  
.....

\* In accordance with the FSC's Code on the Prevention of Money Laundering & Terrorist Financing

**FOR EXISTING APPLICANT, PLEASE FILL IN SECTION 7.**

7.1 **For Continuation**

7.1.1 Country of Incorporation/Registration .....

7.1.2 Date of Incorporation/Registration .....

7.2 **For Change in Legal Regime**

7.2.1 From GBC2 to Authorised Company

i. GBL2 Licence No.....

7.2.2 Other (*please specify whether the Company is a licensee of the Financial Services Commission*) .....

i. Date of Incorporation.....

ii. Licence Number (if applicable).....

## 8. CHECKLIST

The application pack should include:

- Applicable fees
- legal certificate from a law practitioner, legal consultant or law firm that the application for authorisation complies with the laws of Mauritius;
- a copy of the constitution, where adopted, and legal certificate required under the Companies Act;
- certified copies of relevant statutory forms specified in the Companies Act for the incorporation of a company;
- a detailed business plan of the proposed activities to be carried out by the applicant;
- a confirmation from the Management Company to the effect that it holds proper CDD documentation on the Beneficial owner(s) of the Company; and
- any additional information that you consider may be relevant to this application.

## 9. DECLARATION

A duly authorised officer of the Management Company should sign this form to validate its content and to declare that all the Know Your Client and Due Diligence Principles have been completed and are to the satisfaction of the Management Company.

SIGNATURE: .....

NAME (IN CAPITAL LETTERS):.....

CAPACITY OF SIGNATORY: .....

DATE:.....