

## CHECKLIST FOR AUTHORISED COMPANY ('AU') APPLICATION

### Important

**Please ensure that all documents as per the Checklist are submitted and are correct in content. GB Authorisation may not process applications, which are incomplete and do not adhere to the requirements.**

1. Covering Letter;
2. Legal certificate from a law practitioner, legal consultant or law firm that the application for authorisation complies with the laws of Mauritius;
3. A detailed business plan of the proposed activities to be carried out by the applicant including: 
  - 3.1. Target market;
  - 3.2. Target Sector;
  - 3.3. Amount to be invested;
  - 3.4. Origin and source of fund.
4. A confirmation from the Management Company to the effect that it holds proper Customer Due Diligence documentation on the Beneficial owner(s)/ Shareholder(s) of the Company;
5. A confirmation from the Management Company to the effect that the proposed Company is controlled by a majority of shareholders with beneficial interest who are not citizens of Mauritius;
6. A confirmation from the Management Company to the effect that the activity of the proposed Company is being principally conducted outside Mauritius;
7. A confirmation from the Management Company whether the proposed Company has its central management and control outside Mauritius;
8. Details on the shareholder/s (if individual) of the proposed Company: 
  - First Name, Address, Country, Percentage (%) Shareholding, Passport/NIC, Occupation, Nationality
9. Details on the shareholder/s (if corporate) of the proposed Company: 
  - Name, Address, Country, Percentage (%) Shareholding, Place of Incorporation, Date of Incorporation, Directors / Principals, Shareholders / Members

10. Details on the beneficial owner/s (if individual) of the proposed Company:
- First Name, Address, Country, Percentage (%) Shareholding, Passport/NIC, Occupation, Nationality
11. Details on the beneficial owner/s (if corporate) of the proposed Company:
- Name, Address, Country, Percentage (%) Shareholding, Place of Incorporation, Date of Incorporation, Directors / Principals, Shareholders / Members
12. Details on the Director/s of the proposed Company:
- Last Name, First Name, Address, NIC/Passport Number, Nationality, Residency;
13. Details on the shares to be issued;
14. Details on the financial year end:
- 15. Additional documentation required in case of Application for Conversion of a Global Business Company to an Authorised Company.**
- 15.1. Declaration by existing Applicant;
- 15.2. Certified copy of an updated register of directors and shareholders of the Company;
- 15.3. Original Certificate of Current Standing issued by the Registrar of Companies
- 15.4. Certified copy of the shareholder's resolution for the change in legal regime of the Company;
- 15.5. Original Global Business Licence;
- 15.6. Submission of all outstanding audited accounts for the Global Business Company (if any);
- 15.7. Submission of all outstanding fees (if any);
- 15.8. Certified copy of Management Accounts from the date of the latest audited accounts up to shareholder's resolution date;
- 15.9. Rationale behind the conversion.

**16. Confirmation by MC**

I, ..... Director/ Approved Officer (as per S24 of the FSA) of  
[*Name of Management Company*] hereby certify that the above documents/ information/  
undertakings/confirmations have been duly verified and are thereby enclosed.

.....  
**Signature**

.....  
**Date**